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High carbon and biodiversity costs from converting Africa's wet savannahs to cropland

Timothy D. Searchinger^{1*}, Lyndon Estes^{1*}, Philip K. Thornton², Tim Beringer³, An Notenbaert⁴, Daniel Rubenstein¹, Ralph Heimlich⁵, Rachel Licker¹ and Mario Herrero⁶

Do the wet savannas and shrublands of Africa provide a large reserve of potential croplands to produce food staples or bioenergy with low carbon and biodiversity costs? We find that only small percentages of these lands have meaningful potential to be low-carbon sources of maize (\sim 2%) or soybeans (9.5-11.5%), meaning that their conversion would release at least onethird less carbon per ton of crop than released on average for the production of those crops on existing croplands. Factoring in land-use change, less than 1% is likely to produce cellulosic ethanol that would meet European standards for greenhouse gas reductions. Biodiversity effects of converting these lands are also likely to be significant as bird and mammal richness is comparable to that of the world's tropical forest regions. Our findings contrast with influential studies that assume these lands provide a large, low-environmental-cost cropland reserve.

ow much land could help meet global demands for new cropland for staple crops or bioenergy at low carbon and biodiversity costs?

Influential studies have assumed that wetter tropical and subtropical savannas, shrublands and sparse woodlands, particularly in Africa, provide a large cropland reserve that can be farmed at low environmental cost. We call these lands collectively 'wet savannas' because what defines them in these studies is only their sufficient rainfall for crops and their lack of dense forest cover. For example, studies by the Food and Agriculture Organization of 10 the United Nations (FAO) of potentially suitable cropland¹, and 11 other studies building on them^{2,3}, exclude denser forests because 12 of their carbon and biodiversity concerns but treat wet savannas 13 as implicitly suitable for conversion¹⁻³. Several modelling studies 14 used by the Intergovernmental Panel on Climate Change assumed 15 that wet savannas could provide new cropland for food and 16 bioenergy without a carbon cost (Supplementary Information). 17 Leading bioenergy studies have identified those wet savannas, 18 particularly in Africa, as much of the global area for environmentally 19 sustainable production⁴⁻⁹. In one study, the World Bank and FAO 20 dubbed a 718 million hectare (Mha) swath of these lands in sub-21 Saharan Africa (SSA) the Guinea Savanna (GS; Fig. 1), and explicitly 22 called for converting up to 400 Mha for staple crops and bioenergy⁹. 23 Many of these studies acknowledge potential biodiversity costs, but 24 implicitly treat them as acceptable or view biodiversity as adequately 25 preserved by a network of protected areas. None of these studies 26 calculates the carbon costs of converting wet savannas. 27

Although the rationale in these studies is that wet savannas 28 are less valuable than forests, lower environmental cost does not 29 necessarily mean low cost in any absolute sense or by reference to 30 31 average croplands. The difference is important because government policies can influence not merely where land conversion occurs 32 but also how much, and judgements about wet savannas can 33 influence policy decisions that help shape how much. For example, 34

through biofuel policies, governments are now directly expanding 35 demand for cropland by tens and potentially hundreds of millions 36 of hectares¹⁰, and judgements about Africa's wet savannas seem 37 to be a factor. The demand for cropland also responds to 38 any policies that influence crop and pasture yields including 39 levels of agricultural research funding¹⁰, and direct support for 40 intensification¹¹. Governments can influence the need for cropland 41 through their influence on food waste through crop storage 42 infrastructure and policies, and food labelling standards¹², and 43 they might influence food demands through strategies to reduce 44 consumption of meat¹³. Governments also influence the supply of 45 new cropland, and therefore farmer choices whether to expand 46 land or intensify production, through land-use regulation¹⁴, through 47 construction of roads and other infrastructure¹⁵, and through 48 transfers of government-owned land^{16,17}. Whether wet savannas 49 provide a large low carbon and biodiversity cropland reserve for 50 Q.2 biofuel or food crops can appropriately influence the cost/benefit 51 calculations for all of these policies globally. 52

Although the previous studies we cite do not offer criteria for low carbon or biodiversity costs, existing croplands provide one 54 useful benchmark. There is wide agreement that the conversions of natural areas to existing croplands have come with high carbon¹⁸ as well as high biodiversity costs^{19,20}. Logically, therefore, wet savannas cannot be viewed as potential low-cost sources of new crops unless the carbon and biodiversity costs of their conversion would be significantly lower.

The ratio of carbon lost from the formation of existing croplands relative to their crop output establishes a global average 'carbon conversion efficiency' of cropland for food crops. Here we compare this efficiency to the potential carbon conversion efficiencies of 64 converting Africa's wet savannas, nearly half of remaining wet savannas globally. In the case of biofuels, we determine the likelihood of generating large greenhouse gas reductions compared with gasoline when factoring in the costs of land conversion because 68

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that provides an objective standard for low-carbon lands. We also compare the biodiversity of Africa's wet savannas with other global 2 biomes. Although the available global data sets and vegetation З models needed for these analyses have many uncertainties, their use 4 is both appropriate and necessary for evaluating the assumptions of 5

other global analyses. 6

Findings 7

Land use in the GS. To analyse the precise assumptions of at least 8 one prominent analysis, our identification of the wet savannas of 9 Africa tracks the map used by the World Bank to identify the GS. 10 That generally identifies lands with a potential crop-growing season 11 based on adequate soil moisture between 150 and 239 days per year 12 (roughly areas with >600 mm rainfall per year that are not dense 13 forests). In reality, this term extends the name Guinea Savanna, 14 which properly refers to a particular ecosystem in West Africa, to 15 a wide range of savannas, shrublands and woodlands. We calculate 16 that 51% of the 718 Mha area has canopy cover of 10-30%, 33% 17 has canopy cover of 30-50%, and 3% has canopy cover over 50%. 18 Wetlands cover 47 Mha (6%), and protected areas cover 106 Mha 19 (Fig. 1), but croplands already cover 82 Mha (11%). On the basis of 20 an existing database²¹, 260 Mha of the GS was used for pasture in 21 2000, but the densities vary greatly with 3.5% of pasture in excess 22 of 50 tropical livestock units (TLU) km⁻²; 33.5% of pasture with 23 24 10–50 TLU km⁻² and 63% of pasture with 0–10 TLU km⁻². Some of the studies we cite exclude some more managed grazing lands from 25

their estimates of potentially suitable lands. 26

Potential to be a low-carbon source of staple crops. We analyse 27 the potential of additional cropland in the GS to be a low-carbon 28 source of staple crops first by estimating the carbon conversion 29 efficiencies of maize or soybeans on existing global cropland. (The 30 World Bank found that maize and soybean are the optimal staple 31 crops for 88% of the suitable potential new cropland in SSA (ref. 2; **0.3** 32 33 Supplementary Information)). Studies of agricultural conversion costs typically focus on carbon releases per hectare²², but if crop 34 yields are low, using land with little carbon can result in more 35 hectares of conversion and more overall release of carbon. Here, we 36 focus instead on the carbon releases from land conversion per ton of 37 crop because that precisely measures land's ability to contribute to 38 food needs while minimizing total carbon releases. Following ref. 23, 39 we calculate these efficiencies as the carbon lost by the conversion of 40 native ecosystems to cropland divided by the current annual yields 41 of those croplands, so the lower the number the more efficient. 42 Unlike in ref. 23, however, we analyse these ratios for individual 43 crops rather than aggregate crops. The different yields of different 44 crops will lead to different carbon loss/yield ratios. The ratios must 45 be compared for the same crops to properly reflect the differences 46 in land characteristics alone. 47

Using methods described below, we find that lands used for 48 maize globally have experienced a mean average carbon loss of 49 20.8 tons per ton of annual crop yield $(tCtY^{-1})$, and a median 50 of 14 tC tY⁻¹). For soybeans, the mean ratio (carbon conversion 51 efficiency) is 44.5 tC tY⁻¹ and the median ratio is 41 tC tY⁻¹. 52

We compare these global conversion efficiencies with estimates 53 of carbon release per potential ton of rain-fed maize and soybeans 54 on wet savannas in the GS while excluding existing cropland and 55 protected areas. To provide sensitivities for our analysis, we base our 56 spatial estimates of carbon first on soil and vegetation carbon maps, 57 and alternatively on the same vegetation and soil model used for the 58 global analysis (LPJmL; Supplementary Information). 59

For yields, our first method estimates potential yields optimisti-60 cally assuming high inputs and absence of major crop diseases for 61 the whole GS using a crop model (DSSAT; Supplementary Informa-62 tion; Supplementary Figs 1-4 map and show distributions for this 63 approach). We alternatively estimate potential yields using a yield 64



Figure 1 | Cropland and tree cover in Africa's wet savannas and shrublands.

gap analysis that estimates the 90th percentile of reported yields within zones of comparable climate. The two methods generate quite similar patterns of yield estimates overall, although the DSSAT yields are modestly lower (Supplementary Table 1), and there are spatial differences (Supplementary Fig. 6). The two estimates for potential yields and the two estimates of carbon losses generate four different estimates overall of carbon conversion efficiencies.

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According to these four estimates, 18.3-19.2% of the GS has potential to convert maize while releasing less carbon per ton of crop than the global mean. The potential areas for soybeans are 30.8-32.9%. Conversion of only 6.3-7.8% (maize) and 12.1-16.2% (soybeans) would release at least one-third less carbon than the global mean (Supplementary Figs 7a and 8), which we consider a modest standard for 'low' carbon costs per ton.

As a minimum level of yield and acceptable yield variability are 79 needed to justify high inputs, we calculated areas in the GS that also 80 meet three modest practicability tests for high inputs, leading to four 81 total criteria for potentially low-carbon cropland: carbon loss/vield 82 ratios for maize or soybeans under our optimistic assumptions of 83 potential yields would be at least one-third lower than the world 84 mean; potential yields would reach at least $4 \text{ th} \text{a}^{-1} \text{ yr}^{-1}$ for maize 85 or 1.5 t ha⁻¹ yr⁻¹ for soybeans, roughly half the yields of high-86 exporting countries; the yield coefficient of variation (CV) would 87 be less than 30%; the cropland season failure rate would be less 88 than 10%. Using our crop-modelled yields, 2-2.2% meet criteria 89 for maize and 9.5-11.5% for soybeans. (Figure 2 shows lands 90 that pass these tests using the DSSAT/database carbon method. 91 The Supplementary Information includes statistics for different 92 combinations of criteria (Supplementary Tables 2 and 3).) Analyses 93 that use attainable yields from the yield gap study²⁴, which are 94 methodologically restricted to the first two 'suitability' criteria, 95 produced estimates of 1.2-3.6% (maize) and 10.5-12% soybeans 96 (Supplementary Tables 4 and 5). These similar estimates suggest 97 limited practical capacity to generate crops with low carbon costs. 98

cropland expansion occurred at existing yields²⁵ If 99 (Supplementary Information), the results would be less promising. 100 Only 1.7% of the GS for maize and 2.9% for soybeans would release 101 less carbon per ton of crop than the global mean, and only 0.6% 102 (maize) and 0.8% (soybeans) would release one-third less carbon 103 (Supplementary Information). 104

Even if some wet savannas provide a potential low-cost cropland 105 reserve for staple crops, SSA cannot become a net exporter of 106

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Unsuitable for maize and soybean Suitable for maize Suitable for sovbean Suitable for maize and soybean Current cropland Protected areas

Figure 2 | Low-carbon potential cropland sites. Suitable: yields ≥ 4 t ha⁻¹ (maize) or 1.5 t ha⁻¹ (soybeans), yield CV \leq 30%, crop season failure rates ≤10%, and carbon loss/yield ratios 33% lower than global averages for each crop.

low-carbon staple crops-except by depriving its own people of 1 food-unless it first uses such lands to meet its own needs. (Devoting low-carbon lands to expand exports would otherwise З just require offsetting imports or more expansion into high-carbon 4 lands to meet domestic needs.) For SSA to become self-sufficient 5 in food production at the improved nutritional levels predicted 6 by FAO in 2050, overall production of crop calories would need 7 to grow to 4.2 times 2007 levels. To avoid cropland expansion, 8 average cereal yields would have to grow from 1.23 t ha⁻¹ in 2007 to 9 $5 \text{ t ha}^{-1} \text{ yr}^{-1}$ in 2050, more than double the global yield growth rates 10 for cereals from 1961 to 2006. Even to produce all needed crops at the 11 substantially improved yields in SSA estimated by FAO in 2050, and 12 with continued heavy reliance on staple imports, SSA would need 13 to expand cropland by \sim 140 Mha. That is more than double our 14 four-criterion estimate of potential low-carbon cropland for maize 15 or soybeans (using high inputs) of ~60 Mha. Converting 140 Mha 16 would release \sim 33 Gt of carbon dioxide even if focused on lands 17 with the lowest carbon loss/yield ratios for maize (Supplementary 18 Table 8). SSA has important potential to boost staple crop yields and 19 has started to boost yields in recent years, but unless yield growth far 20 exceeds FAO predictions, SSA is unlikely to have excess low-carbon 21 lands to contribute to global staple crop needs. 22

Potential for low-carbon bioenergy. According to many studies⁴⁻⁹, 23 the GS serves as a large potential source of land for low-carbon 24 biofuels, but these studies do not calculate the carbon costs from 25 the conversion of non-forests. Here we use optimistic assessment of 26 bioenergy crop yields to calculate the 'carbon payback' time, which 27 is the number of years before fossil fuel greenhouse gas savings 28 compensate for the initial release of carbon from land conversion. 29 Our central analysis adjusts our biomass crop model (LPJmL; 30 Supplementary Information) to match the net primary productivity 31 of native vegetation, which rain-fed agriculture rarely exceeds²⁶. 32 The model projects average biomass yields at $8.8 \text{ tDM ha}^{-1} \text{ yr}^{-1}$, 33 which are greater than the highest US yields estimated in regulatory 34 analyses used by the US Environmental Protection Agency for the 35 US (ref. 27). Using a life cycle model (GREET; Supplementary 36 Information) that calculates that each megajoule of ethanol 37 generates only 12% of the greenhouse gases of gasoline without 38 counting land-use change (LUC), each ton of carbon in bioenergy

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payback times less than 10 years, which is closest to the European Union standard in effect in 2017 that biofuels must produce 50% less greenhouse gas than gasoline over 20 years.

for ethanol.

We alternatively adjusted our biomass crop model to match the biomass yields in test plots of perennial grasses including Miscanthus x giganteus (Supplementary Information). In that scenario, average biomass yields rise to 15.9 tDM⁻¹ ha⁻¹ yr⁻¹, and the area with 10-year payback times rises to 2.8%. Using a variety of different databases and assumptions does not significantly change these results (Supplementary Table 9).

Biodiversity. Agricultural conversion nearly always has large impacts on local biodiversity^{19,20}. Species diversity counts inform these impacts although the relationship is not as quantitatively precise as carbon calculations. We evaluated the potential impacts to biodiversity of converting the GS to croplands by comparing biodiversity in the GS with that of other regions, using bird, mammal and amphibian species counts calculated from range maps (Supplementary Information). As bird species greatly outnumber mammals and reptiles, we rescaled the number of species in each taxon in each pixel to a 0-1 range based on its relationship to the global range in diversity for that taxon (excluding Antarctica). The index of all vertebrates sums the number of the three vertebrate subsets to a maximum score of 3. Figure 4 maps the distribution and compares the GS with other biomes.

The GS has lower vertebrate species richness on average than wet tropical forests, but the difference is modest, and the GS has almost identical average richness for birds and mammals (Fig. 4). Median and mean biodiversity vertebrate biodiversity are roughly double the world average, and 75% of the GS has more diversity than 75% of the rest of the world excluding Antarctica (Supplementary Fig. 11). Species richness within the GS is comparable to that of African protected areas outside the GS and is significantly higher than all non-desert areas in Africa outside the GS (Supplementary Fig. 12). The biota within the GS, particularly the flora and mammalian vertebrates, is also largely distinct from that of other continents²⁸.

The bioenergy studies cited assume that a proper network of protected areas would make bioenergy sustainable in much of the



Figure 3 | Carbon payback times for use of dedicated perennial grasses

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crops saves 0.44 tons of greenhouse gases (C eqv.) before factoring in 40 LUC. Including LUC, 52% of the GS that is not protected or already /11 cropland has carbon payback times in excess of 50 years, and 98% 12 in excess of 20 years (Fig. 3). Only 0.6% of the area would result in 43 лл 45 46

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Figure 4 | Comparison of GS vertebrate diversity with rest of world. a,b, Global total vertebrate (mammals, birds and amphibians) richness (**a**) and threatened vertebrate richness (**b**), excluding Antarctica. Bar charts show the mean standardized diversity values by height; numbers within or above show actual mean species count for the GS and different habitat climatic zones and eco-regions: the Cerrado (CER); warm wet climates, which correspond to dense tropical rainforests (WWcl); climates like the GS but outside the GS (GScl); world regions with comparable rainfall outside the GS (GSrf); savannas, woodlands, and shrublands outside the GS (Sav): and the whole world outside the GS except Antarctica (World). The locations of these regions relative to the GS are illustrated in Supplementary Fig. 10.

GS (refs 4,5,9). The GS protection rate of 14.7% is already slightly 1 higher than the world average of 13%. Although beneficial, even 2 strong networks of protected areas rarely conserve biodiversity fully 3 if agriculture surrounds them because of edge effects, failure to 4 provide habitat for all species, and intrusions from hunting, invasive 5 species and pollution²⁹⁻³². Many species that use protected areas also 6 rely on habitat outside those areas for at least part of their life cycle³³ 7 or as a source of clean water³⁴. 8

In the GS, for example, the southeastern portion of the vast Sud 9 wetland in the Sudan and western Ethiopia supports a 300-400 km 10 11 migration of some 800,000 white-eared kob, and 1.3 million total animals. Even though the area is rich with protected areas, much 12 of the migration route is not protected and is threatened by large-13 scale conversions of the 2.5 Mha Gambella region owing to long-14 term leases to foreign investors³⁵ (Supplementary Fig. 13). Similarly, 15 16 the highly diverse Okavango Delta in Botswana outside the GS depends on clean water flows from an unprotected, relatively 17 natural watershed within the GS (Supplementary Fig. 13). Because 18 neither the unprotected portions of the Gambella nor the Okavango 19 watershed areas have exceptionally high biodiversity themselves, 20 21 they are unlikely candidates for protected areas, but their conversion would threaten biodiversity in their broader landscapes. 22

Although high biodiversity scores are meaningful over broader areas, scores for each grid cell are less informative because data on the presence of animals do not factor in these landscape and watershed functions. Impacts of agricultural conversion also vary as a function of species range size, mobility, habitat preferences and other factors. We therefore did not calculate a quantitative biodiversity cost/benefit index by cell. However, the generally high biodiversity in the GS relative to the temperate zone, and the relatively low yields, imply that only modest portions of the GS should qualify as potential cropland with low vertebrate biodiversity costs relative to output.

Discussion

Our findings imply that many other global studies have overestimated the quantity of potential cropland with low carbon and biodiversity costs. If preserving carbon and biodiversity are important goals, the potential for cropping African savannas therefore does not justify large bioenergy targets but does justify enhanced efforts to meet food needs on existing land.

The coarse resolution, potential inaccuracies and inconsistencies in global data sets and models imply that studies of this type should be used for their general findings rather than their precise numbers. The finding that Africa's wet savannas are generally not low cost seems robust because multiple data sets and yield estimation methods produced similar results. Our analysis also deliberately includes many optimistic assumptions about crop and bioenergy yields. Irrigation could improve potential African yields beyond our estimates, but faces many biophysical and economic challenges³⁶, and would introduce other environmental costs. At a minimum, global studies should not assume that tropical areas other than forests are low cost.

Although our findings suggest policies to limit the amount of cropland expansion, policies that influence where cropland expansion occurs are also important. Even if governments make great efforts to hold down cropland demand, some growth is probably necessary, particularly in SSA. Global demand is also likely 57

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to continue to rise for African cash crops, which use $\sim 12\%$ of cropland in the SSA, are mostly not produced in temperate zones, 2 and are large sources of export revenues. We believe only finer-З 4 scale analyses than our analysis should be used to map less harmful expansion areas, but our analysis does suggest useful principles. 5 Efforts to target new cropland should not be based on broad, 6 unanalysed land-use categories or emissions per hectare, but should 7 focus instead on areas with relatively lower carbon and biodiversity 8 costs per likely ton of yield. Plausible economic potential to 9 achieve high yields, foregone milk and meat output from pasture, 10 and social implications to pastoralists and others should also be 11 important considerations. 12

13 Methods

To estimate ratios of global carbon loss from land conversion to crop output, we 14 used a global cropland map, FAO yield data, and estimates of native carbon 15 16 stocks using the LPJmL global vegetation model (Supplementary Information). We assumed that cropland conversion led to the loss of all vegetative carbon in 17 18 native vegetation and 25% of soil carbon within the top metre. To estimate potential yields within the GS, we used the DSSAT (ref. 37) crop 19 model and assumed high inputs of nitrogen, use of the highest yielding seed 20 varieties, and alleviation of other prominent potential production problem such 21 22 as pests, or lack of phosphorus. We also performed this analysis using a separate 23 estimate of maximum attainable ('climatic potential') yields, which were based on the 90th percentile of observed yields²⁵ in the year 2000 within each of 100 24 distinct climatic zones identified for the globe²⁴. For existing yields, we used the 25

mean of observed actual yields within the GS for each climate zone in the data for that study and assumed any expansion within the same climate zone would have that mean yield. For carbon stocks in the GS, we used a spatial vegetation

Q.5 29 carbon database from Ruesch & Gibbs (Supplementary Information) and the
HWSD database (Supplementary Information) for soil carbon, and we
alternatively used estimates of vegetative and soil carbon using the LPJmL model.
Using the LPJmL model for global carbon estimates and using database estimates
of carbon stocks in the GS creates a risk of potential inconsistencies, but we also

used LPJmL to estimate carbon stocks in the GS, and it generated similar carbon
 loss/yield estimates to those based on the Ruesch & Gibbs (Supplementary
 Information) and the HWSD databases.

We derived estimates of 2007 and 2050 food consumption demands, net imports, land use and yield growth needs in SSA from data in FAOSTAT and projections by FAO (ref. 1), adjusting for higher UN population estimates in 2050 released in 2013.

We used the LPJmL (Supplementary Information) global vegetation model to
 spatially estimate yields of perennial grass bioenergy crops parameterized to
 match the net primary productivity of native vegetation and alternatively to
 match yields of *Miscanthus x giganteus* and switchgrass in test plots
 (Supplementary Information). We used the GREET model (Supplementary
 Information) to estimate greenhouse gas emissions relative to gasoline, ignoring

and-use change, and LPJmL as well as alternative methods to estimate vegetation
 and soil carbon losses.

We estimated vertebrate biodiversity using data from the International Union
 for the Conservation of Nature (Supplementary Information), subject to a variety
 of spatial and statistical analyses. The Supplementary Information provides
 extensive additional material regarding methods.

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Author contributions 9

- 10 T.D.S. wrote the paper and contributed to all analyses. L.E. undertook the biodiversity
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A.N. contributed to land-use analysis and mapping. D.R. contributed to the biodiversity analysis. R.H. carried out bioenergy analysis with the GREET model and food and landuse demand needs in SSA. R.L. performed yield gap analysis. M.H. contributed land-use analysis. All authors contributed to the general paper content, thinking and writing.

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Additional information

Supplementary information is available in the online version of the paper. Reprints and permissions information is available online at www.nature.com/reprints. Correspondence and requests for materials should be addressed to T.D.S. or L.E.

Competing financial interests

The authors declare no competing financial interests.

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